# **Appendix** – 1 *Yama-Niyama* Group Intervention

Name of the practice	Duration	Contents				
Yama and niyama lecture	15 min	1. Explain the concept of each ethical discipline and how to practice in daily life, for example:				
		Yamās	Niyamās			
		Ahimsā: not to hurt any	Śauca: purify the physical,			
		creatures: human beings,	mental and environment.			
		animals, plants				
		Satya: speak and observe the	Santosa: simple living, high			
		truth in thoughts, words and	thinking, be still within, be			
		actions  Asteya: simplify life by	non-attached  Tapas: austerity of the body,			
		eliminating all non-essential	speech, mind			
		things and activities	speedi, iiiiid			
		Brahmacharya: follow the	Svādhyāya: reading scriptures			
		middle path, be balanced and	with understanding its meaning			
		moderate in all things	and assimilating it into life			
		Aparigraha: purify the heart of	<i>Īśvarapraṇidhāna:</i> cultivate an			
		envy and jealousy, the right motivation and corresponding	increased trust, faith,			
		effort	understanding and devotion in God			
			the consciousness of worry' and			
			how it manifests the <i>yama</i> and			
		niyama disciplines.	-			
Japa writing	20 min	Writing two rounds of the solor	ted śloka in the sixteenth chapter			
Japa witting	20 11111	verse 1-3 of <i>Bhagavad Gītā</i> :	ted stoka in the sixteenth chapter			
			vānuvāca:			
			irjñāna-yoga-vyavasthitiḥ [			
		dānamdamas ca yajñas ca s	svādhyāyas tapa ārjavam (1)			
		ahimsā satyam akrodhas	tyāgaḥ śāntir apaiśunam [			
		dayā bhūteṣv aloluptvam mārdavam hrīra cāpalam (2) tejaḥ kṣamā dhṛtiḥ śaucam adroho nāti-mānitā l				
		bhavanti sampadam daivīm abhijātasya bhārata (3) The Blessed Lord said: Fearlessness, purity of heart, perseverance in acquiring wisdom and in practicing yoga, charity,				
			rmance of holy rites, study of the			
			ghtforwardness (1); Non-injury, ath, renunciation, peacefulness,			
			n for all creatures, absence of			
			of restlessness (2); Radiance of			
		character, forgiveness, patience	, cleanness, freedom from hate,			
			ties are the wealth of a divinely			
		inclined person, O Descendant of	л <b>д</b> нагаtа (5).			
Introspection	5 min	Reflect on daily vama or nivan	na themes, first mentally review			
		* *	oserve the negative quality or			
		behavior that violates Yama and	niyama, then resolve how one is			
			identifying and concentration on			
			one is going to adopt, and lastly			
			stead of the negative trait, going firmation and pray: "Make me a			
		master of yama and niyama".	inmation and pray. Wake the a			
Councelling	5 min		to in the class on maleted to 110.			
Counselling	5 min	problems.	ts in the class or related to life			
		problems.				

# **Appendix-2: Yoga Group Intervention**

Name of the practice	Duration	Contents
Yama and Niyama lecture	5 min	1. Explain the concept of each ethical discipline and
		how to practice in daily life.
		2. Through the topic of 'Ridding the consciousness of
		worry' and 'The law of success', discuss how it
		manifests the yama and niyama disciplines.
Introspection	5 min	According to each YN lecture theme, mentally review
		the different circumstances that one passes through.
		Recall to mind the particular aspects of spiritual living,
		and ask oneself: "How did I do?", use affirmations to
		impress on hearts and minds the qualities that one is
		focusing on.
	10 min	Set 1: Sūrya namaskāra
	2 min	Set 2: Trikoṇāsana
Āsanās	2 min	Virabhadrāsana 1
	2 min	paścimottānāsana
	2 min	Uṣṭrāsana
	2 min	Śavāsana
Prāṇāyāma	4 min	$N\bar{a}d\bar{l}$ śuddhi
	3 min	Brahmari
	3 min	Ujjayi
Counselling	5 min	Clearing of the questions/doubts in the class or related
		to life problems.

### **Appendix-3: Informed Consent From**

### **INFORMED CONSENT FORM**

### **Information to the participants:**

You are being invited to participate in a research study to determine the role of 'Impact of *Yama* and *Niyama* on Physiological and Psychospiritual Factors in Young Adults.' The investigator will explain you *Yama* and *Niyama* concept in detail. You can clarify your doubts. The participation is voluntary. You may withdraw at any point of time. Please note that you have a right to refuse to give your consent, and this is not compulsory.

### **Title of Research study:**

Impact of Yama and Niyama on Physiological and Psychospiritual Factors in Young Adults.

Investigator: Xu Wen, Ph.D. (Yoga) scholar, Mob. –9830403747

**Procedure:** Intervention will be given for three-months (five sessions/week), and then one-month follow-up, you have to fill the Demographic information sheet, *Guṇa* questionnaire, and the instrument measure the psychophysical and spiritual factors by Bio-Well which will be a part of your experiment. All assessment measurements are on 1<sup>st</sup>, 30<sup>th</sup>, 60<sup>th</sup> and 90<sup>th</sup>, 120<sup>th</sup> day.

*Yama* and *Niyama* group: Theory on *Yama* and *Niyama*; *Japa* writing - writing the śloka; introspection and counselling will be given for 45 minutes intervention.

**Yoga** group: You will be given 45 minutes *Yama* and *Niyama*, *Āsana* and *Prāṇāyāma* intervention. **Control group:** Participants will assemble at one place and they will be having general discussion/chatting/talking each other for the duration of 45 minutes and not undergoing any *yoga* intervention.

**Risks:** The *yoga* techniques and instruments are non-invasive and so there are no risks.

**Benefits:** This research may be beneficial for body, mind and spiritual for young adults.

**Confidentiality:** The research team will protect the confidentiality of your records.

### **CONSENT**

Signature of the Witness

**Signature of the Participant** 

## **Appendix-4: Institutional Ethics Committee Certificate**



# स्वामी विवेकानन्द योग अनुसंधान संस्थान

# Swami Vivekananda Yoga Anusandhāna Samsthāna

(Declared as Deemed-to-be University under Section 3 of the UGC Act, 1956)

Eknath Bhavan, # 19, Gavipuram Circle, Kempegowda Nagar, Bangalore - 560 019 Ph: 080 - 2661 2669, Telefax: 080 - 2660 8645

E-mail: svyasa@svyasa.org Website: www.svyasa.org

### RES/IEC-SVYASA/151/2019

26/Nov/2019

To, Miss Xu Wen, Research Scholar, S-VYASA Yoga University, Bengaluru.

### Reference:

"Impact of Yama and Niyama on Psychophysiological and spiritual factors in Young adults"

### Dear Miss Xu Wen,

We have received from you the following study related documents vide your letter dated  $4^{th}$  Oct 2019

- 1 Project Proposal
- 2 Informed consent form

Ethics committee meeting was held on 26<sup>th</sup> October 2019 between 2:00 PM and 5:00 PM at Eknath Bhavan, Bengaluru. Above documents were examined and discussed in the meeting.

### APPROVED

INSTITUTIONAL ETHICS COMMITTEE SVYASA, BANGALORE



# स्वामी विवेकानन्द योग अनुसंधान संस्थान

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Eknath Bhavan, # 19, Gavipuram Circle, Kempegowda Nagar, Bangalore - 560 019 Ph: 080 - 2661 2669, Telefax: 080 - 2660 8645

E-mail: svyasa@svyasa.org Website: www.svyasa.org

This is to confirm that neither Miss Xu Wen nor any staff participating in this study were involved in the voting procedures and decision making.

The Institutional Review Board / Institutional Ethics Committee (IEC) are expected to be informed about the progress of the study / any changes in the protocol and patient information / informed consent. The investigators are also expected to submit a copy of the final report to IEC for records.

This approval is valid up to the completion of the study at the site.

Please submit to the IEC, the status report of the study as per the SOPs.

The IEC is organized & operates according to the requirements of ICH- GCP, Indian Council of Medical Research Guidelines & Schedule Y.

Best Wishes,

Dr.Ramesh M. N, Member Secretary,

Institutional Ethics Committee,

S-VYASA, Bengaluru.

# **APPENDIX-5: The Vedic Personality Inventory**

### The Vedic Personality Inventory

1 = Very Strongly Disagree 2 = Strongly Disagree 3 = Somewhat Disagree 4 = Neutral

5 = Somewhat Agree 6 = Strongly Agree 7 = Very Strongly Agree

1.	I am straightforward in my dealings with other people.	1	2	3	4	5	6	7	
2.	I have very little interest in spiritual understanding.	1	2	3	4	5	6	7	
3.	I am satisfied with my life.	1	2	3	4	5	6	7	
4.	Fruits and vegetables are among my favorite foods.	1	2	3	4	5	6	7	
5.	All living entities are essentially spiritual.	1	2	3	4	5	6	7	
6.	In conducting my activities, I do not consider								
	traditional wisdom.	1	2	3	4	5	6	7	
7.	I often act without considering the future								
	consequences of my actions.	1	2	3	4	5	6	7	
8.	I usually feel discontented with life.	1	2	3	4	5	6	7	
9.	I become happy when I think about the material								
	assets that I possess.	1	2	3	4	5	6	7	
10.	I am good at using willpower to achieve goals.	1	2	3	4	5	6	7	
11.	I enjoy spending time in bars.	1	2	3	4	5	6	7	
12.	Geanliness is very important to me.	1	2	3	4	5	6	7	
13.	Others say that my intelligence is very sharp.	1	2	3	4	5	6	7	
14.	I often feel depressed.	1	2	3	4	5	6	7	
15.	I often put off or delay my responsibilities.	1	2	3	4	5	6	7	
16.	I greatly admire materially successful people.	1	2	3	4	5	6	7	

17.	When I speak, I really try not to irritate others.	1	2	3	4	5	6	7			
18.	I believe life is over when the body dies.	1	2	3	4	5	6	7			
19.	I often feel helpless.	1	2	3	4	5	6	7			
20.	I enjoy foods with strong tastes.	1	2	3	4	5	6	7			
21.	I am constantly dissatisfied with my position in lif	e.	1	2	3	4	5	6	7		
22.	Having possessions is very important to me.	1	2	3	4	5	6	7			
23.	When things are tough, I often bail out.	1	2	3	4	5	6	7			
24.	I often feel like a victim.	1	2	3	4	5	6	7			
25.	I feel that my knowledge is always increasing.	1	2	3	4	5	6	7			
26.	I prefer city night life to a walk in the forest.	1	2	3	4	5	6	7			
27.	For me, sex life is a major source of happiness.	1	2	3	4	5	6	7			
28.	I take guidance from higher ethical and moral law	/S									
	before I act.	1	2	3	4	5	6	7			
29.	I enjoy intoxicating substances (including coffee,										
	cigarettes and alcohol).	1	2	3	4	5	6	7			
30.	I often feel greedy.	1	2	3	4	5	6	7			
31.	I become greatly distressed when things don't wo	ork									
	out for me.	1	2	3	4	5	6	7			
32.	I am often angry.	1	2	3	4	5	6	7			
33.	I often feel fearful.	1	2	3	4	5	6	7			
34.	I do not have doubts about my responsibilities in	life	Э.		1	2	3	4	5 (	6	7
35.	I often feel emotionally unbalanced.	1	2	3	4	5	6	7			
36.	I enjoy eating meat.	1	2	3	4	5	6	7			
37.	I am self-controlled.	1	2	3	4	5	6	7			
38.	I am very dutiful.	1	2	3	4	5	6	7			

39.	When I give charity, I often do it grudgingly.	2 3 4 5 6 7
40.	Self-realization is not important for me. 1	2 3 4 5 6 7
41.	I often feel dejected.	2 3 4 5 6 7
42.	I carry out my responsibilities regardless of whether	
	there is success or failure. 1	2 3 4 5 6 7
43.	l often neglect my responsibilities to my family. 1	2 3 4 5 6 7
44.	I am easily affected by the joys and sorrows of life. 1	1 2 3 4 5 6 7
45.	I often whine.	2 3 4 5 6 7
46.	Regardless of what I acquire or achieve, I have an	
	uncontrollable desire to obtain more.	2 3 4 5 6 7
47.	I am currently struggling with an addiction, physical	
	or psychological, to some type of 1 2	2 3 4 5 6 7
	intoxicant (including caffeine, cigarettes and alcohol)	
48.	I often envy others.	2 3 4 5 6 7
49.	My job is a source of anxiety.	2 3 4 5 6 7
50.	I never think about giving up my wealth and position	
	for a simpler life. 1 2	2 3 4 5 6 7
51.	It often happens that those things that brought me	
	happiness later become the source of my 1 2	3 4 5 6 7
	suffering.	
52.	I often feel mentally unbalanced. 1 2	2 3 4 5 6 7
53.	I don't have much will power.	2 3 4 5 6 7
54.	I often neglect my responsibilities to my friends.	1 2 3 4 5 6 7
55.	I often act violently towards others.	1 2 3 4 5 6 7
56.	I am good at controlling my senses and emotions.	1 2 3 4 5 6 7

Scoring Key for the Vedic Personality Inventory

Sattva-1, 3, 4, 5, 10, 12, 13, 17, 25, 28, 34, 37, 38, 42, 56

Pajas-8, 9, 16, 18, 20, 21, 22, 23, 26, 27, 30, 31, 39, 44, 46, 48, 49, 50, 51

Tamas-2, 6, 7, 11, 14, 15, 19, 24, 29, 32, 33, 35, 36, 40, 41, 43, 45, 47, 52, 53, 54, 55

Scoring Instructions: Sum all the responses for a guna, then divide this sum by the total possible score for the guna. This will give the guna score in the form of a percentage. Then, to obtain a standardized score for a guna, sum the three guna percentage scores and divide it into the guna percentage scores. The three standardized scores form the guna profile for a person.

### Example:

For the 15 sattva items a respondent scores 60, or an average of 4.0. This converts to a guna percentage score of 57.14% (60/105 or 4/7).

For the 19 rajas items a respondent scores 57, or an average of 3.0. This converts to a guna percentage score of 42.86% (57/133 or 3/7).

For the 22 tamas items a respondent scores 55, or an average of 2.5. This converts to a guna percentage score of 35.71% (55/154 or 2.5/7).

The sum of the three guna percentage scores is 57.14 + 42.86 + 35.71 = 135.71

The standardized sattva score is 57.14/135.71 = 42.10%

The standardized rajas score is 42.86/135.71 = 31.58%

The standardized tamas score is 35.71/135.71 = 26.31%

# **APPENDIX-6: Demographic Information Sheet**

Name:		Gender:	
Instructions: answe	r all questions in the b	elow form.	
	Perso	onal information	
Age			
Educational Attainment			
Dietary Habit	Vegetarian: □	Non-Vege	etarian:□
Disease/Ailment	Yes: □	No: □	
Any past <i>yoga</i> experience	Yes: □	No: □	
Marital status	Married: □	Unmarried:	
Phone			
E-mail Address			
Home Address			
Any other			
information wish			
to provide			

# **APPENDIX-7: Daily Practice Record Sheet**

### Week 1 Yama and niyama group daily record

Instruction: if you follow any ethic discipline tick  $\checkmark$ , or if not followed tick  $\checkmark$ . On summary write down your weekly thoughts and specifically with whom you are practiced the ethic disciplines.

Name:			Da	ate: From		To		
Practice		Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Sunday
Yama	Ahimsā (non-violence)							
	Satya (truthfulness)							
	Asteya (non-stealing)							
	Brahmacharya (continence)							
	Aparigraha (non-covetousness)							
Niyama	Śaucha (cleanliness, purity)							
	Santoṣa (contentment)							
	Tapas (austerity)							
	Svādhyāya (self-study)							
	Īśvara praṇidhāna (surrender to							
	God)							
Summary:								

## Week 1 Yoga group daily record sheet

Instruction: write the summary about your experience.

Name:		Date: From	To	
Day of the week	Yama (minute)	Niyama (minute)	Āsana (minute)	Prāṇāyāma (minute)
Monday				
Tuesday				
Wednesday				
Thursday				
Friday				
Saturday				
Sunday				
Summary:				

# APPENDIX-8: LIST OF PUBLICATION FROM THIS DOCTORAL THESIS

Sl. No.	Name of the Article / Title	Name of the Journal and Publisher	UGC Listed Yes/No
1	Effects of <i>Yama</i> and <i>Niyama</i> on body energy systems: Evidence from Electro Photonic Imaging – A randomised controlled trial	Indian Journal of Science and Technology	Yes
2	Evaluation of Impact of Ethics of <i>Yoga</i> in the Psychological Health of College Students – A Randomized Control Trial		Yes
3	Impact of <i>Yama</i> and <i>Niyama</i> on Psychospiritual Factors in Young Adults— A Randomized Controlled Trial	International Journal of <i>Yoga</i> - Philosophy, Psychology and Parapsychology	No

### INDIAN JOURNAL OF SCIENCE AND TECHNOLOGY



#### RESEARCH ARTICLE



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# Effects of Yama and Niyama on body energy systems: Evidence from Electro Photonic Imaging – A randomised controlled trial

### Wen Xu1\*, Itagi R Kumar1, Thaiyar M Srinivasan1

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### Abstract

Background/Objective: The purpose of this study was to evaluate the impact of yogic practices of Yama and Niyama on changes in energy in the body and organs related to emotions in young adults. Methods/Statistical analysis: The present study was a randomised control trial. A total of 100 young healthy adults with 71 males and 29 females randomly allocated to study the effects of Yama and Niyama for 12 weeks. The control group was arranged to take a regular course for 45 minutes which was the same time duration as the Yama-Niyama intervention. Assessments included were energy and health status in the person, and energy and entropy levels in the five major organs related to emotions using Bio-Well device. Findings: The optimal energy stability was observed in Yama-Niyama group after the intervention compared to the control group. Energy level variables of the human energy field, health status, energy reserve, heart, liver, spleen, lung and kidney values in Yama-Niyama group showed a statistical difference (p<0.001) after the intervention compared to the control group. The entropy level of five emotion-related organs for betweengroup comparison showed no significant differences after the intervention. In the within-group comparison, the results of Yama-Niyama group showed a significant reduction in physical energy level from hyperactive to normal range after the intervention compared to baseline, whereas the control group showed a significant decrease and out of normal range. The optimal energy stability in Yama-Niyama group indicating an improvement in physical function. Novelty: The current study provides preliminary evidence that Yama and Niyama intervention improved the regulation of subtle energy in the body and might balance vital energy in meridians to further promote physiological well-

Keywords: Yama; Niyama; Yoga ethics; energy; emotional organs; BioWell

https://www.indjst.org/

## INDIAN JOURNAL OF SCIENCE AND TECHNOLOGY



#### RESEARCH ARTICLE



G OPEN ACCESS

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### Competing Interests: None

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# Evaluation of Impact of Ethics of Yoga in the Psychological Health of College Students: A Randomized Control Trial

### Wen Xu1s, Itagi R Kumar2, Thaiyar M Srinivasan3

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### Abstract

Background/Objectives: The foundational ethical principles of yoga have not been of focus and not widely known. This study was to evaluate the yoga ethics that could reduce the level of stress in college students. Methods/Statistical analysis: A randomized control trial was conducted. One hundred participants were randomly assigned to control group and experiment group with a duration of three months intervention and one month follow-up. The outcome measures of stress level were assessed through Bio-Well instrument. A parametric independent sample t-test for the between-group analyses and paired sample t-test for within-group analysis compared the means of two groups. Findings: There was a statistically significant reduction in the stress after the intervention (p<0.001) and follow up (p=0.035) between the group comparisons. The within-group comparisons showed high reduced in the level of stress after the intervention (p<0.001) and follow-up (p<0.01). Novelty: The current study provides preliminary evidence that the practice of ethical principles of Yama and Niyama effectively reduces the stress and may improve psychological health and well-being.

Keywords: Yoga ethics; Yama; Niyama; Stress; Psychological wellbeing; BioWell

### 1 Introduction

Emotional pressures are common among college students, such disorders were first identified in their early 20s, which indicated that the high-risk period for adolescent onset persisted into young adulthood (1). Increasingly, epidemiological studies consistently find the rising prevalence rate of mental disorders among college students around the world, with majority of freshman reported experiencing medium to high level of emotional pressures (2). However, only a small minority (16.4%) of students with 12-month mental disorders received adequate treatment due to the high prevalence

https://www.indjst.org/

### Original Article

## Impact of Yama and Niyama on Psychospiritual Factors in Young Adults: A Randomized Controlled Trial

Wen Xu, R Kumar Itagi, M Srinivasan Thaiyar

Division of Yoga and Physical Sciences, S-VYASA Deemed-to-be-University, Bengaluru, Karnataka, India

Background: The ethical principles of yoga enunciated in yama and niyama are not well known and are not usually presented to students of yoga. Aim: The goal of this study was to evaluate the benefits of yama and niyama in psychospiritual well-being in young adults. Materials and Methods: A total of 100 participants were randomly assigned to the yama-niyama group and control group. Yamaniyama group underwent three months intervention and one-month follow-up assessment. Control group attended regular classes during intervention time. Participants completed baseline and post-intervention of Vedic Personality Inventory questionnaire and cakra alignment measures. Results: The outcome measures in the yama-niyama group showed a signifi'cant difference in sattva (P<0.001), rajas (P<0.001), tamas (P<0.001) and cakrās (P<0.001) after intervention compared to the control group. In the follow-up, sattva (P=0.018) and rajas (P=0.018) showed a significant difference compared to the control group. Further, in yama-niyama group showed a significant increase in sattva (P<0.001) and cakrās were significantly better aligned (P<0.001), whereas rajas (P<0.001) and tamas (P<0.001) showed a significant decrease after intervention. In the followup, sattva (P<0.001) showed a significant increase and cakrās were significantly better aligned (P<0.001), whereas rajas (P<0.001) and tamas (P<0.001) showed a significant decrease. Conclusion: The findings show that young adults may advance in psychospiritual growth with proper introduction to yama and niyama in their practices. The study also fills a gap in yoga research which often neglects this foundation of psychospiritual practices in yoga.

KEYWORDS: Cakrās, contemplative, Guṇās, Niyama, psychospiritual, yama

Submission: 10-09-2020, Revision: 30-11-2020, Acceptance: 19-02-2021, Publication: 17-03-2021

Introduction

Jama and niyama (YN) in yoga refers to the universal precepts of ethical codes which work toward improving the body, mind, and soul of an individual. The principles of YN are enumerated in the ancient traditional scripture of Yoga Sūtrās by Patañjali. The yamās are Ahimsā (nonviolence); satya (truthfulness); asteya (nonstealing); brahmacharya (continence); and aparigraha (noncovetousness). Equally important are the niyamās: Śauca (purity of body and mind); santoṣa (contentment); tapas (self-discipline);



svādhyāya (introspective study of the scriptures); and īśvarapranidhāna (surrender to God).

Over the past decades, yoga has been widely studied in different domains regardless of age, race, and of national origins. Several studies report increasing use of yoga as a

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