

# स्वामी विवेकानन्द योग अनुसंधान संस्थान Swami Vivekananda Yoga Anusandhana Samsthana

Deemed to be University u/s 3 of the UGC Act, 1956

#19, 'Eknath Bhavan', Gavipuram Circle, Kempe Gowda Nagar, Bengaluru – 560 109 ph: 080-2661 2669 e-mail: info@svyasa.edu.in www.svyasa.edu.in



# **Certificate**

This is to Certify that the Doctoral Committee confirms that this is an authentic approved copy of the thesis titled "Evaluation of Therapeutic Efficacy and Mechanistic Basis of Yoga in the Management of Adverse Respiratory Health and Neuropsychological Deficits in Pesticide Exposed Indian Farmers" submitted by Vipin Dhansoia bearing Registration No. Phd/Cat 3/18/Jan 2014.

The Viva-voce examination was conducted on Friday, 23<sup>rd</sup> December 2022 through online google meet with session link: https://meet.google.com/srg-skya-zed

The committee recommends the award of the PhD Degree.

Dr. Akshay Ananad National Reviewer & Examiner Professor,Department of Neurology, Post Graduate Institute of Medical Education & Research, Chandigarh



Dr. Vijaya Majumdar Guide & Associate Professor S-VYASA, Bengaluru



Prof. Sony Kumari Registrar - Evaluation S-VYASA, Bengaluru

S-Gra Santara

Prof. S. Siva Shankar Sai Registrar S-VYASA, Bengaluru

leput

Dr. Reddicherla Umapathi International Reviewer & Examiner Principal Researcher; Brain Pool Program Fellow Nano Bioengineering Analysis Laboratory Department of Biological Engineering, Inha University Incheon

Dr. Deepeshwar Singh Co-Guide & Associate Professor S-VYASA, Bengaluru

10

Prof. Ramesh Mavathur Dean of Academics S-VYASA, Bengaluru

Dr. B. R. Ramakrishna

Dr. B. R. Ramakrishna Vice-Chancellor S-VYASA, Bengaluru

#### **CERTIFICATE BY THE GUIDE**

I hereby certify that the thesis entitled "Evaluation of therapeutic efficacy and mechanistic basis of yoga in the management of adverse respiratory health and neuropsychological deficits in pesticide exposed indian farmers" submitted by Vipin Dhansoia, research scholar, for the award of Doctor of Philosophy in Yoga to the Swami Vivekananda Yoga Anusandhana Samsthana(S-VYASA), Bengaluru, is the result of research work carried out by him in the Anvesana Research Labs, (S-VYASA), Bengaluru under my guidance during the period 2014-2022. Also, this is a record of original work carried out by him in this institution and has not previously formed the basis for the award of any degree, diploma or associateship.

Date: 23/07/2022

Place: Bengaluru

Research Guide: Dr. Vijaya Majumdar

### **DECLARATION BY THE RESEARCH CANDIDATE**

I, Vipin Dhansoia, hereby declare that this thesis title "Evaluation of therapeutic efficacy and mechanistic basis of yoga in the management of adverse respiratory health and neuropsychological deficits in pesticide exposed indian farmers" is the result of Bonafide research work carried out by me at Anvesana Research Labs, Swami Vivekananda Yoga Anusandhana Samsthana (S-VYASA), Bengaluru, during the period 2014 - 2022 under the supervision of Dr.Vijaya Majumdar, Associate Professor, S-VYASA, Bengaluru.

I also declare that this research work has not formed the basis for awarding any degree, diploma, associateship, fellowship, or similar titles in this or any other university.

Lipin

Date: 23/07/2022

Research scholar: Vipin Dhansoia

Research Guide: Dr. Vijaya Majumdar

Place: Bengaluru

#### ACKNOWLEDGMENT

First and foremost, I acknowledge S-VYASA University for providing an appropriate environment for research and highly knowledgeable faculty. I recognize supervisor Dr. Vijaya Majumdar, who guided gentle sincerity. I acknowledge the support and guidance of Dr. N.K Manjunath for helping me at every step of paper writing and submission. Without their support, I would have struggled with every obstacle on the way.

I acknowledge our PhD-coordinator, Dr. Soubhagyalaxmi, for constantly guiding us with all the processes and the Ph.D. office for their unstinted and individualized support.

# STANDARD INTERNATIONAL TRANSLITERATION CODE

а	=	अ	'na	=	ভ	pa	=	ч
ā	=	आ	са	=	च	pha	=	ፍ
i	=	হ	cha	=	छ	ba	=	ब
ī	=	-har	ja	=	ज	bha	=	ਮ
u	=	उ	jha	=	झ	ma	=	म
ū	=	জ	ñ	=	ञ	ya	=	य
ŗ	=	ૠ	ţa	=	ट	ra	=	₹
ŗ	=	ॠ	ţha	=	ठ	la	=	ਲ
e	=	ए	<b>ḍa</b>	=	ड	va	=	व
ai	=	ऐ	dha	=	ढ	śa	=	হা
		`			-			
0	=	ओ	ņa	=	ण	șa	=	ष
au	=	औ	ta	=	त	sa	=	स
ṁ	=	अं	tha	=	थ	ha	=	ह
ķ	=	अः	da	=	द	kșa	=	क्ष
ka	=	क	dha	=	ध	tra	=	র
kha	=	ख	na	=	न	jña	=	হা
ga	=	ग						

## **USED TO TRANSLITERATE SANSKRIT WORDS**

gha = घ

#### ABSTRACT

#### BACKGROUND

The respiratory function and neuropsychological deficits remain major health concerns in chronically pesticide-exposed farmers across the globe. However, there is a scarcity of studies that address the clinical management of these adverse health outcomes of occupational pesticide exposure to farming populations by administering a Yoga-based intervention, which has the potential to improve thoracic compliance and lung function.

#### **AIM AND OBJECTIVES**

We aimed to investigate whether Yoga-based intervention could mitigate the effects of chronic exposure to pesticides on respiratory and cognitive functions. Secondarily we also aimed to test if oxidative stress reduction could underlie the intervention's impact on the health outcomes, health-related quality of life (HRQOL), and oxidative stress markers in farmers chronically exposed to pesticides.

#### **METHODS**

In the present study, we report the findings of parallel two-armed randomized clinical, blinded outcome assessors that tested the efficacy of a yoga-based intervention on pulmonary and cognitive functions in pesticide exposed male farmers. Farmers were screened on their self-reported history of at least six months of spraying operations in the field. Of 634 farmers screened across five villages, 140 male farmers (mean [SD] age, 38.75 [7.50] years) with mean pesticide exposure of 5.71 years (SD 3.04) were included and randomized to yoga (n = 70), or waitlist control arm (n = 70). Yoga intervention was delivered in 60-minute groups for 12 weeks.

A total of 123 participants completed the post-intervention assessment. Analysis was by intention-to-treat. The intervention was unblinded, but the outcome assessment blinded the treatment assignment. The primary outcome was a change in pulmonary function parameters (percent predicted value of FVC, FEV1, %FEV1/FVC, PEFR, and FEF 25–75%) after 24 weeks of intervention. Secondary variables were assessed using neuropsychological assessment TMT (Trail making test), DSST (Digit symbol substitution test), WHO Quality of life-BREF, and Perceived stress scale. We present an application of the causal inference approach to mediation analysis. Linear regression was used to analyze study outcomes as adjusted mean differences (AMDs), additionally adjusted for their comparable value at baseline. Mediation analysis was also done considering oxidative stress markers as potential mediators. This study is registered with ClinicalTrials.gov, number CTRI/2019/11/021989.

#### RESULTS

At the end of 6 months of intervention, the overall follow-up in the participants was 87.85% (n=123); 90% (n=63) in the control group, and 85.71% in the yoga group (n=60). The mean age of the study cohort (n=140) was 38.75 (SD =7.50) years. Compared with the control group, at 24 weeks post-intervention, the breathing focused Yoga group had significantly improved the markers of airway obstruction, after adjusting for confounders, FEV1, FVC, FEF25-75 [z score-adjusted mean differences (95% CI); 1.66 (1.10-2.21), respectively. A fraction of FEF25- 75 change (mediation percentage 23.95%) was explained by glutathione augmentation. There were also significant improvements in cognitive scores of DSST, TMT-A and TMT-B, and WHOQOL-Bref.

#### CONCLUSION

In this 24-weeks randomized controlled trial on chronically pesticide exposed farmers, breathing focused yoga intervention was significantly more effective than the wait-list control group in the alleviation of spirometry-based indices of airflow limitation and cognitive decline. A significant mediating effect of glutathione augmentation was also observed concerning the effect of the intervention on FEF25-75%. These findings provide an important piece of beneficial evidence of the breathing-based yoga intervention that needs validation across different farming ethnicities.

#### **Trial Registration**

Clinical Trial Registration Number: CTRI/2019/11/021989

**Keywords:** Farmers, Pesticide exposure, Breathing-focussed Yoga Intervention, Respiratory Decline, Cognitive Decline

# CONTENTS

SL. NO.	DETAILS	PAGE NO.
1.	INTRODUCTION	1 - 8
2.	LITERATURE REVIEW	9
2.1	ANCIENT LITERATURE REVIEW	10 - 26
3.	SCIENTIFIC LITERATURE REVIEW	27 – 36
4.	AIMS AND OBJECTIVES	37
4.1	AIMS OF THE STUDY	38
4.2	OBJECTIVES OF THE STUDY	39
4.3	JUSTIFICATION OF THE STUDY	40-41
4.4	HYPOTHESIS	42
5.	METHODS	43
5.1	PARTICIPANTS	44
5.2	SAMPLE SIZE	44
5.3	SELECTION AND SOURCE OF PARTICIPANTS	44-45
5.4	INCLUSION CRITERIA	45
5.5	EXCLUSION CRITERIA	45-46
5.6	ETHICAL CONSIDERATION	46
5.7	DESIGN OF THE STUDY	46-48
5.8	VARIABLES STUDIED	49-53
5.9	INTERVENTIONS	53-55

5.10	DATA EXTRACTION	56
5.11	DATA ANALYSIS	57-58
6.	RESULTS	59-71
7.	DISCUSSION	71-72
8.	APPRAISAL	82
8.1	SUMMARY OF THE FINDINGS	83
8.2	CONCLUSION	83
8.3	IMPLICATIONS OF THE STUDY	83
8.4	APPLICATIONS OF THE STUDY	84
8.5	STRENGTH OF THE STUDY	84
8.6	LIMITATION OF THE STUDY	84
8.7	SUGGESTIONS FOR FUTURE STUDIES	84-85
9.	REFERENCES	86-93

S.N.	APPENDICES	94
1	List of publications from this doctoral thesis	95
2	Intervention module	95-96
3	Institutional ethical committee approval	97-98
4	CTRI registration details	99-102
5	Informed consent form: a sample copy	103-111
6	Questionnaires	112-132
7	Raw Data	133-169

# LIST OF TABLES

1.	Summary table of scientific research	30
2.	Summary table of a literature search on yoga and Pulmonary function test	32
3.	Algorithm score factors for personal protective equipment (PPE)	50
4.	Yoga Intervention	54
ults		
5.	Baseline characteristics of study participants	61
6.	Participants, and pesticide exposure	62
7.	Distribution of different types of pesticides amongst the farmers	63
8.	Distribution of pesticide handling and equipment use related   parameters between intervention and control groups	63
9.	Comparison of all the psychophysiological variables between yoga   and control group	66
10.	The key concepts and target causal effects used in the mediation   analysis	67
11.	Indirect, direct, and total effects of the mediation models on   respiratory and cognitive outcomes at six months	68

## LIST OF FIGURES

SI	Figures	Page no
no		
1.	Pesticide use Globally	3
2.	Pesticide exposure and health hazards	4
3.	Pesticide exposure and Neurocognitive diseases	4
4.	Pesticide exposure, oxidative stress, and its deteriorating effect on different organs	6
5.	Trial profile of the study	48
6.	Mediation analysis model	65